STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

Docket No. 14846-43

In Re Application Of: MILLER et al.

Serial No.

Filing Date

Examiner

Group Art Unit

TBD

FEBRUARY 6, 2004

TBD

TBD

Invention:

BILLING WORKFLOW SYSTEM FOR CREDITING CHARGES TO ENTITIES CREATING

DERIVATIVES EXPOSURE

TO THE COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Dry O. De

Dated: FEBRUARY 6, 2004

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Tebruary 6,2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ATTY DOCKET NO. SERIAL NO. 14846-43 **TBD** INFORMATION DISCLOSURE CITATION MILLER et al. (Use several sheets if necessary) FILING GROUP February 6, 2004 **TBD U.S. PATENT DOCUMENTS** *EXAMINER FILING DATE DOCUMENT NUMBER DATE NAME CLASS SUBCLASS INITIAL IF APPROPRIATE

US 20030225663 12/04/03 Horan et al. US 20020138398 09/26/02 Kalin et al. US 6,185,544 02/06/01 Sakamoto et al. US 20030046218 03/06/03 Albanese et al. US 20020107770 08/08/02 Meyer et al. 12/18/03 US 20030233305 Solomon US 20030097335 05/22/03 Muskowitz et al. US 20030018557 01/23/03 Gilbert et al. US 20020199182 12/26/02 Whitehead US 20020198817 12/26/02 Dhir US 20020194096 12/19/02 Falcone et al. **FOREIGN PATENT DOCUMENTS** TRANSLATION DATE SUBCLASS DOCUMENT NUMBER COUNTRY CLASS YES NO (Including Author, Title, Date, Pertinent Pages, Etc.) OTHER DOCUMENTS MEGA, the Multi-Entity General Accounting solution, http://www.intacct.com/mega_overview.html EXAMINER **DATE CONSIDERED**

Form PTO-A820 (also form PTO-1449)

considered. Include copy of this form with next communication to applicant.

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not

ATTY DOCKET NO. SERIAL NO. 14846-43 **TBD** INFORMATION DISCLOSURE CITATION MILLER et al. (Use several sheets if necessary) FILING GROUP February 6, 2004 **TBD U.S. PATENT DOCUMENTS** *EXAMINER FILING DATE DOCUMENT NUMBER DATE CLASS SUBCLASS NAME INITIAL IF APPROPRIATE US 20020069134 06/06/02 Solomon US 20020026394 02/28/02 Savage et al. US 6,629,081 09/30/03 Cornelius et al. US 6,088,686 07/11/00 Walker US 5,784,696 07/21/98 Melnikof US 6,405,173 06/11/02 Honarvar et al. US 6,415,259 07/02/02 Wolfinger et al. US 5,835,899 11/10/98 Rose et al. US 6,125,354 09/26/00 Mac Farlane et al. US 6,418,420 07/09/02 DiGiorgio et al. **FOREIGN PATENT DOCUMENTS** TRANSLATION DOCUMENT NUMBER DATE SUBCLASS COUNTRY CLASS YE\$ NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.